

# **Mandatory Compliance Training Series: Job-Specific BSA Training for Lenders**

**WEBINAR OR ON-DEMAND WEB LINK  
(LINK INCLUDES FREE CD ROM)**

**Thursday, October 20, 2011**

12 - 1:30 pm PT  
1 - 2:30 pm MT  
2 - 3:30 pm CT  
3 - 4:30 pm ET

**A borrower walks into your loan office to sign the mortgage loan papers and make a down payment. To your surprise, the customer places a bag full of “mattress money” on the desk as the down payment. What should you do now?**

John Smith and his brother Joe Smith are new accountholders and have an informal partnership in a small manufacturing business. They have applied for, and received, a line of credit. John and Joe have decided that they want their office manager (who has no relationship with your bank) to be able to request and receive draws against the line on behalf of the company. Who needs to be identified to satisfy the CIP requirements?

This program is designed to discuss real-life situations lenders encounter, and to ensure they know the answers to BSA-related questions. In addition to the regulatory requirements, it is important for lenders/lending departments to understand their role in the BSA process. BSA failures often cause regulatory risk, monetary penalties, and reputation risk. The focus of this program will not be the proper completion of every box on the BSA documents, but rather on the skill sets and knowledge required of lending department personnel to recognize and properly respond to BSA issues. This webinar will provide job-specific BSA training for lenders, and meet the training requirements outlined in the current BSA Exam Manual.

**Continuing Education: Attendance verification for CE credits provided upon request.**



## **HIGHLIGHTS**

- General BSA rules
- Lending and currency transaction reports
- Lending and the lender's role in suspicious activity reports
  - Mortgage fraud
  - Appraisal issues
  - Elder abuse
- Lending and proper CIP compliance

## **IMPORTANT TOOLS PROVIDED**

This training will include the following items so that you are able to provide solid documentation for examiners:

- Letter of attendance certification with webinar outline
- Electronic training log
- Quiz you can administer to measure staff learning and a password-protected answer key

All registrants will receive the attendance certification and training log, regardless of whether they attend the live or archived version.

## **WHO SHOULD ATTEND?**

This informative session will concentrate on the lender's role in the BSA process. Lenders and others who work in the lending department (processors, loan operations, etc.) should attend.

## **MEET THE PRESENTER**

**Bill Elliott,  
Young & Associates, Inc.**



**[CLICK HERE TO LEARN MORE ABOUT YOUR REGISTRATION OPTIONS](#)**

**To view prices or register please return to the  
webinar listing page, and click on the link to register.**