

Subpoenas, Summonses, Levies & Other Demands for Customer Funds

WEBINAR – ON DEMAND WEB LINK & FREE CD ROM

Thursday, September 9, 2010

12 - 1:30 pm PT
1 - 2:30 pm MT
2 - 3:30 pm CT
3 - 4:30 pm ET

Has your bank implemented the proper procedures for handling subpoenas, garnishments, levies, and other demands for customer funds? Most banks deal with these matters daily, but many do so without proper training. Handling these matters improperly can expose your bank to significant liability. This seminar will explain these complicated legal documents – subpoenas, garnishments, levies, summons, attachments, etc. – and the situations when they are served on the bank. Learn the necessary steps to handle these matters, such as identifying accounts, placing account holds, answering interrogatories, notifying your customer, appearing at the deposition, and remitting the funds.

Note: The speaker will address proposed federal regulations requiring banks to research recent deposits to determine if funds in the account are exempt.

Continuing Education: Attendance verification for CE credits provided upon request.



HIGHLIGHTS

- What financial privacy restrictions are imposed on your bank?
- What risks are imposed on the bank?
- When are you required to notify your customer?
- What types of funds are exempt?
- What if your bank holds a security interest in the funds?
- What if an account is held by more than one customer or held by a trust, sole proprietorship, corporation, etc.?
- Use of an affidavit in lieu of appearing in person in court or for a deposition

WHO SHOULD ATTEND?

This informative session is best suited for deposit operations personnel, tellers, customer service representatives, compliance personnel, and auditors.

MEET THE PRESENTER

**Elizabeth Fast, JD & CPA
Bankers Choice**



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