

Wire Transfer Compliance

WEBINAR – ON DEMAND WEB LINK & FREE CD ROM

Thursday, April 8, 2010

12 - 1:30 pm PT
1 - 2:30 pm MT
2 - 3:30 pm CT
3 - 4:30 pm ET

Wire transfer is one of the most vulnerable areas for financial institutions in terms of potential fraud, money laundering, and other illegal activities. Because of this, it is not surprising that there are so many laws addressing wire-transfer security and monitoring. Regardless of your institution's size, you are required to comply with many of these wire transfer regulations. This webinar will provide an overview of those requirements, along with some practical suggestions to assist those responsible for this arduous task.

As an added benefit, the webinar handouts will include sample forms to help capture required information; sample computer spreadsheets to help with recordkeeping; monitoring and data retrieval activities; and an audit questionnaire to help you evaluate if all requirements are being met.

Continuing Education: Attendance verification for CE credits provided upon request.



HIGHLIGHTS

- Wire transfer safety and soundness issues
- Regulation J & UCC-4A
- Bank Secrecy Act recordkeeping and monitoring
- OFAC screening
- USA PATRIOT Act 314(a) Information Sharing
- Regulation GG Internet Gambling

WHO SHOULD ATTEND?

This informative session would best suit BSA officers, compliance officers, internal auditors, employees who handle wire transfers, and new account staff who obtain critical information at account opening.

MEET THE PRESENTER

**Rhonda Hudson,
Compliance +, Inc.**



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