

Year End Compliance Checklist

WEBINAR – ON DEMAND WEB LINK – FREE CD ROM

Tuesday, November 24, 2009

12 - 1:30 pm PT
1 - 2:30 pm MT
2 - 3:30 pm CT
3 - 4:30 pm ET

**From Reg CC to Reg Z (and much in between),
2009 has been an unprecedented year for compliance and regulatory change!**

Many compliance officers, auditors, lenders, and CSRs are reeling from all the new requirements. With increasing emphasis on good internal controls, this program is a must for beleaguered bankers.

Besides the new rules, the existing rules are still in place! This “checklist” program will help bankers keep pace with all the changes, implement updated procedures, stay on track with overall compliance policies/procedures, and avoid letting anything fall through the cracks. Join us and let this program assist you in developing checklists to assure that critical activities are completed by year end.

Continuing Education: Attendance verification for CE credits provided upon request.



HIGHLIGHTS

- BSA exams for both the lending and deposit/operations sides
 - What is the examiner’s focus?
 - Where do they see risk?
- Reg D: changes for excessive transactions from savings accounts
- Reg CC: data processing boundary changes (list of current MICR number boundaries)
- Fair lending: the agencies said earlier this year that ALL banks should conduct comparative file review
 - A tool for fair lending file review will be provided
- Appraisal standards: from ordering to review (including an appraisal review checklist)
- Reg Z: from early disclosures to higher cost loans
- RESPA: new GFE, new HUD, and new escrow requirements for higher-cost loans
- Timing issues for credit cards and open-end statements

WHO SHOULD ATTEND?

This informative session is designed for compliance officers, auditors, lenders, deposit personnel, operations personnel, BSA officers, management, and trainers.

MEET THE PRESENTER

Ann Brode,
Brode Consulting Services, Inc.



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