Suspicious Activity Reports (SARs): Investigating, Decision-Making & Filing

WEBINAR – ON DEMAND WEB LINK – FREE CD ROM

Thursday, November 19, 2009

12 - 1:30 pm PT
1 - 2:30 pm MT
2 - 3:30 pm CT
3 - 4:30 pm ET

Your customer was just on the 6:00 news after being arrested for narcotics trafficking. All you can think about is the SAR investigative file on your desk – the SAR you didn't file because you were unsure.

This program will help you look at sources of "red flags" for money laundering and suspicious activity. Whether the tip is from your system, your frontline, or the local newspaper, it must be investigated and reviewed so a decision can be made about whether to file a SAR. Learn the procedures for initial SAR filing, repeated filings, working with law enforcement, and when an account must be closed. The SAR cycle, including tips, investigations, filing and SAR aftermath will be covered during this "must attend" webinar.

Continuing Education: Attendance verification for CE credits provided upon request.

HIGHLIGHTS

- **Tellers, systems and media: Where does suspicious activity originate?**
- **Opening an investigative file**
- **Deciding to file a SAR**
- **Can examiners require bankers to file SARs?**
- **Common errors in completing the SAR form**
- **Thresholds and SAR filings**
- **Time frames, repeated filings, and amending SARs**
- **Closing an account**
- **Working with law enforcement**
- **National Security Letters, 314a and OFAC matches**
- **What if your SAR is subpoenaed?**
- **What if law enforcement wants supporting documentation?**
- **Using your SAR data to change your processes**

WHO SHOULD ATTEND?

This informative session is directed to BSA officers, management, BSA coordinators, compliance personnel, and security officers

MEET THE PRESENTER

Deborah L. Crawford,
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