

Auditing ACH

WEBINAR – ON DEMAND WEB LINK – FREE CD ROM

Thursday, October 8, 2009

12 - 1:30 pm PT
1 - 2:30 pm MT
2 - 3:30 pm CT
3 - 4:30 pm ET

Understanding the basics of the NACHA ACH audit program requires knowledge of basic accounting controls and how your operating systems processes ACH. This program will give you tips for taking the NACHA ACH program from a yes/no inquiry program to testable procedures; cover basic accounting controls surrounding ACH; reveal common ACH Rules violations; and probe the importance of ACH risk management, including management and board reporting.

Continuing Education: Attendance verification for CE credits provided upon request.



HIGHLIGHTS

- NACHA ACH audit requirements – tips for performing each step
 - Prenotes, acceptance, and posting
 - Account statements and agreements (customers and TPSP)
 - Returns and return reasons
 - NOCs
 - Adjustment and entry
 - Record retention requirements
 - Customer notifications and rule changes
- Basic internal accounting controls
- ACH risk management
 - Credit risk and fraud risk
 - Operational risk – rules violations
 - Systemic risk (third-party risk)
 - International risk
- ACH risk management
 - Risk rating
 - Exposure limits/funding
 - Board and management reporting
 - Agreements
 - Monitoring, periodic reviews, and BSA controls

WHO SHOULD ATTEND?

This informative session is directed to operations personnel, ACH processors, internal auditors, and compliance officers.

MEET THE PRESENTER

**Nichol Beckstrand, CPA & CRCM,
Sunrise Community Banks**



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